

Patent

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9/15 2004

Jack B. Koczarski

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

CASE NO.: IK-110.3(C) Tsipouras et al.

APPLICATION NO.: 10/091,360 **GROUP ART UNIT: 1631**

FILED: March 4, 2002 **EXAMINER: L.A.Clow**

FOR: METHOD AND APPARATUS FOR COMPUTER CONTROLLED RARE CELL, INCLUDING FETAL CELL, BASED DIAGNOSIS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

All copies of the references except US Patents listed on the attached Forms PTO/SB/08A and PTO/SB/08B have been provided.

In accordance with §1.97(b), since this Information Disclosure Statement is being filed () either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, no additional fee is required. The Commissioner is authorized to charge any fees required to Deposit Account No. 03-3975. 10091360

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IK-110.3(C) Patent

(X) In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore:

- () Certification in Accordance with §1.97(e) is set forth below.
- (X) The fee of \$180.00 as set forth in \$1.17(p) is attached.
- () In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.31 1 but before the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); Petition Requesting Consideration of the Information Disclosure Statement; and the fee of § as set forth in §1.17(p).

Please charge any necessary fees to Deposit Account No. 03-3975.

The references are only provided in the English language or with an English language abstract or translation.

Respectfully submitted,

Hans-Peter G. Hoffmann Registration No. 37,352

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PTO/SB/17 (10-03) Approved for use through 07/31/2006. OMB 0651-0032

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FEETRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

121	180.0	n
(D)	100.0	V

Complete if Known				
Application Number	10/091,360			
Filing Date	March 4 2002			
First Named Inventor	Tsipouras et al.			
Examiner Name	L.A Clow			
Art Unit	1631			
Attorney Docket No.	IK-110.3(C)			

METHOD OF PAYMENT (check all that apply)				FEE	ECALCULATION (continued)	. "
Check Credit card Money Order Other None	3. AE	DITI	ONAL	. FEE	S	
Deposit Account:	Large E	ntity	Small	Entity		
Deposit	Fee Code		Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number 03-3975	1051	130	2051		Surcharge - late filing fee or oath	
Deposit Account PILLSBURY WINTHROP LLP	1052	50	2052	25	Surcharge - late provisional filing fee or	
Name	1053	130	1053	130	Cover sheet Non-English specification	
The Director is authorized to: (check all that apply)	1812				For filing a request for ex parte reexamination	
✓ Charge fee(s) indicated below ✓ Credit any overpayments ✓ Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to	
Charge fee(s) indicated below, except for the filing fee	1005	4 0 400	4005	4 0 4 0 *	Examiner action	
to the above-identified deposit account.	1805	1,840-	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month	\vdash
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	<u> </u>
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	\vdash
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	\vdash
SUBTOTAL (1) (\$)	1452	110	2452	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453	1,330	2453	665	Petition to revive - unintentional	ļ
Fee from	1501	,	2501		Utility issue fee (or reissue)	
Total Claims		480	2502		Design issue fee	
Independent	1503	640	2503		Plant issue fee	<u></u>
Claims	1460	130	1460		Petitions to the Commissioner	
Large Entity Small Entity	1807	50	1807		Processing fee under 37 CFR 1.17(q)	180.00
Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	100.00
Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	205	examined (37 CFR 1.129(b))	
1205 18 2205 9 ** Reissue claims in excess of 20	1802	900	1802	900	Request for Continued Examination (RCE) Request for expedited examination	\vdash
and over original patent					of a design application	
SUBTOTAL (2) (\$)	Other					<u></u>
**or number previously paid, if greater, For Reissues, see above	*Redu	ced by	Basic I	Filing F	ee Paid SUBTOTAL (3) (\$) 180.	00
SUBMITTED BY					(Complete (if applicable))	

Name (Print/Type) Hans- Peter G.Hoffmann Registration No. (Attorney/Agent) 37,352 Telephone 203 965-8271

Signature Date September 15 2004

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Total Number of Pages in	MITTAL RM ondence after initial filing	Application Number Filing Date First Named Inventor	. Patent and Trademark Collection of information up 10/091,360 March 4,2002 Tsipouras et al. 1631 L.A Clow	PTO/SB/21 (02-04) for use through 07/31/2006. OMB 0651-0031 Office; U.S. DEPARTMENT OF COMMERCE nless it displays a valid OMB control number.
Extension of Time Express Abandonn Information Disclost Certified Copy of P Document(s) Response to Missin Incomplete Application Response to	eclaration(s) Request nent Request sure Statement Priority	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocat Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks	ion Address 1. PTC 2. PTC	After Allowance communication to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): D/SB/08A D/SB/08B References-(ISR)
I hereby certify that this consufficient postage as first control the date shown below.	eter G. Hoffmann, Recorder 15, 2004 CER	TIFICATE OF TRANSMIS facsimile transmitted to the USF	SION/MAILING TO or deposited with	the United States Postal Service with 1450, Alexandria, VA 22313-1450 on

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	Cubatituta for form 1440/PTO	Complete if Known			
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application Number	10/091,360		
	TATEODA A TEORI DECEL OCUDE	Filing Date	03/04/2002		
		First Named Inventor	Tsipouras et al.		
		Art Unit	1631		
		Examiner Name	L.A Clow		
Sheet	0f 1	Attorney Docket Number	IK-110.3(C)		

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	1	US-3,887,466	06/03/1975	Ayers	
	2	US-3,586,064	06/22/1971	Brown et al.	
	3	US-4,828,716	05/09/1989	Mcewen	
	4	US-5,073,857	12/1991	Peters et al.	
	5	US-5,645,715	07/08/1997	Coombs	
	6	US-5,859,700	1/12/1999	Yang	
	7	US-5,949,556	09/07/1999	Tamai .	
	8	US-5,995,645	11/30/1999	Soenksen et al.	
	9	US-5,936,731	08/10/1999	Cabib et al.	
	10	US-6,005,964	12/21/1999	Reid et al.	
	11	US-6,026,174	2/15/2000	Palcic et al.	
	12	US-6049,421	04/11/2000	Raz et al.	
	13	US-6,087,134	07/11/2000	Saunders	
	14	US-6,140,997	10/31/2000	Tanaka	
	15	US-6,211,955	04/03/2001	Basiji et al.	
	16				
	17				

		FOREIG	N PATENT DO	CUMENTS	
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
- <u>-</u> -					

Examiner	Date	
Signature	Considered	1

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office,

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Substi	Substitute for form 1449/PTO INFORMATION DISCLOSURE			Comp	olete if Known
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/091,360		
		Filing Date	03/04/2002		
		sary)	First Named Inventor	Tsipouras et al.	
				Art Unit	1631
				Examiner Name	L.A.Clow
Sheet	1	of	1	Attorney Docket Number	IK-110.3(C)

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	International Search Report, International appl. No. PCT/US02/31684 of December 23, 2002	
Y)			
Examiner Signature		Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.